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Open Access, Data management and emerging challenges to International Research

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Open Access Publications

- Research Publications & Copyright Policy adopted in April 2022
- Asserts author's ownership of copyright in the first instance
- Supports authors to make their publications Open Access upstream of publisher-imposed embargo periods
- Allows easy compliance with Wellcome Trust, UKRI, EU and Plan S Policy

Research Publications & Copyright Policy (2021)

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Purpose of Policy

This will allow University of Edinburgh researchers to make their journal articles and conference proceedings available on an open access basis as required by research funders under Plan S https://www.coalition-s.org.

Overview

Immediate Open Access to research outputs, with rights retention, is the direction of travel major researcher funders are taking. On 1 January 2021 the Wellcome Trust introduced its new Open Access policy which requires its grantholders to immediately make their research outputs open access upon publication and to be openly licensed with a Creative Commons attribution licence (CC BY).

It is expected that the new UKRI open access policy, which is due to be announced shortly with a start date of 1 January 2022, will have similar elements of rights retention that allow their grantholders to make their research outputs freely available by the official final publication date.

Furthermore, the recently announced Horizon Europe programme, which is world's largest multinational research and innovation programme, will require immediate open-access publishing for all recipients of Horizon Europe research grants. Authors must also retain intellectual-property rights for their papers.

The original Research Publications Policy was originally developed and implemented in January 2010, and has been revised in 2021 to bring it in line with research council strategies, policies, and practices.

Scope: Mandatory Policy

This policy applies to all staff on research contracts.

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Document control

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- Confirms members of staff own the copyright to their scholarly works.
- Upon acceptance of publication each staff member grants the University of Edinburgh a CC BY licence to their publication
- Applies to all scholarly articles, authored or co-authored while the person is a staff member
- Deposit the AM in a digital repository, with article metadata available immediately upon deposit and the AM being made accessible to the public on the date of first online publication
- Opt out in case of difficulties
- Standard address convention (citations)
- Strongly encourages use of ORCID unique identifiers

Open Research Data

- University of Edinburgh refreshed policy in January 2022
- Much stronger emphasis on Data Management Planning
- Data should be FAIR (Findable, Accessible, Interoperable, Reusable)
- Greater emphasis on individual responsibility, baked up by high quality support services

Overall Approach to Open Research

- We make a point of talking about ”Open Research” rather than “Open Science” as we are a broad-based University and the principles can apply across disciplines.
- Inclusive approach working with various groups and organisations across the University. Co-ordination offered by Library Research Support, working with Research Strategy Group.
- Working to an Open Research Roadmap, developed with LERU (League of European Research Universities

New challenges (and opportunities) to International Open Research

- **The National Security and Investment Act** (NSIA) (2021) – Royal Assent granted.
- **National Security Bill** – proposed, in 2nd reading.
- **Foreign Interference Registration Scheme (proposed)** requiring UK universities to report foreign donations and partnerships valued over £50,000.
- **Scottish Government Data Strategy for Health and Social Care**
- **ICO: new management:** John Edwards - UK IC - 5 year appointment. Was IC from New Zealand

International Data Transfers

- Transfer takes place when any personal data is visible in a non-UK region. (even if data never physically moved outside the UK). Exemption for University staff accessing from an international location.
- ICO's focus on the broader context of an international transfer beyond 'just' having the Model Clauses (or the new UK equivalent, the IDTA) in place.
- Should also be a full legal contract in place with any recipient of personal data.
- Strong security measures in international transfers even more important now, especially following the Schrems II decision.

Information Security standards?

Cyber Essentials vs ISO 27001 and others

Making the Most of BREXIT?

Proposed new legislation includes:

- Bills of right to potentially replace the Human Rights Act
- Replacement of GDPR
- Public Order Bill