The study of lithic assemblages on deflation surfaces: The case study of Arroyo Verde, Northern Patagonia coast, Argentina

Eugenia Carranza

Instituto Multidisciplinario de Historia y Ciencias Humanas (IMHICIHU - CONICET). Saavedra 15, 5th floor, (C1083ACA), Buenos Aires, Argentina. Email: carranza.e89@gmail.com

Abstract:

This paper focuses on surface lithic artefacts from Holocene coastal hunter gatherer occupations of Arroyo Verde archaeological locality (Rio Negro province, Northern Patagonia, Argentina). The study of lithic assemblages collected at a deflation surface surrounded by stabilised sand dunes near the coastline, shows that wind produce significant corrasion (wind abrasion) of artefacts and affects preservation of small and medium size artefacts. The sample is mainly composed of chert knapping debitage with abraded and polished edges and surfaces. The artefact size distribution suggests that a subset of the assemblage exhibits good preservation, possibly due to the recent subaerial exposure. Furthermore, carbonate and mould coatings were recorded over the artefacts surface in contact with the ground, which indicates the presence of humid conditions in the sand dunes deflation area. In order to explore the factors that affected assemblage stability conditions in the locus, a machine learning based decision tree was applied. The model predicts and defines a threshold at which the occurrence of instability conditions may appear. Also, it suggests that relative altitude of artefacts within the deflation hollow is the primary variable explaining the exposure conditions recorded in the artefacts and it points out processes of differential deflation over time. The data presented here illustrates how wind alters local surface materials and emphasizes that a taphonomic perspective is needed to assess the formation processes within northern Patagonia coastal archaeological assemblages.

Keywords: surface lithic scatter; Aeolian environment; taphonomy; formation processes; northern Patagonia

1. Introduction

Coastal regions are among the most affected environments by the strong energy of the wind (Bullard 2006; Butzer 2004; Lancaster 1998; Livingstone & Warren 1996; Mayer 2002; Rick 2002; Waters 1992). The Patagonian coast of Argentina is subject to strong winds from the SW that erode, transport and redeposits sediments, causing geomorphological processes that continuously shape the landscape. It also affects the archaeological record, that it is why assessing the role of the wind in the formation and preservation of archaeological sites is very
important to understand human past behaviour (Borrazzo 2006; 2013; Borrazzo & Borrero 2015; Borrero 2001; Cruz et al. 2015; Leonardt et al. 2016).

Within the Northern Patagonia coast, the San Matias Gulf (Figure 1) is a semi-arid region with records for human occupations dated to the middle Holocene (Favier Dubois et al. 2008). The study of the evolution of sand dunes and aeolian deposits is important at the local as well as the regional scale because it allows assessing the spatial and temporal properties of the coastal archaeological record. These landforms were frequently selected for human burials (Bonomo et al. 2017; Favier Dubois et al. 2008) and as shelters from the strong winds (Favier Dubois & Borella 2011). Also, the dunes concentrate groundwater, thus offering an important source of fresh water within a semi-arid environment. The archaeological localities known in the San Matías Gulf are frequently located in aeolian deposits developed on marine terraces or on landforms of fluvial origin (Favier Dubois & Kokot 2011). The archaeological record is found in deposits like shell middens and on the surface across the landscape (Manzi et al. 2011). Two of the main formation processes in that region are deflation and abrasion by wind action. These processes modify the archaeological deposits by exposing materials to differential weathering and mixing artefacts from several depositional events, and thus generating temporal palimpsests (Bailey 2007; Davies et al. 2016; Fanning et al. 2009; Favier Dubois & Borella 2007; Favier Dubois et al. 2008).

On the West coast of San Matias Gulf dunes are scarce and less ubiquitous than on the northern coast due to its geological and environmental characteristics (Favier Dubois & Borella 2011). The Arroyo Verde locality is an exception to this general pattern, since it is an active dune field covering 120,291 m². These aeolian sand dunes contain the archaeological material product of human occupations that took place in a dune setting or ancient marine terraces which are covered by aeolian sediments (Mayer 2002). Due to wind erosion, and the...
deflation processes it causes, the archaeological record was alternatively buried and exposed (Cameron et al. 1990; Fanning & Holdaway 2001; Favier Dubois et al. 2008). Previous work in the area indicated the presence of a blowout dune, a collapsed dune profile and surface scatters (Gómez Otero 2006). In subsequent visits to the location (years 2016 and 2017), we observed the same features that were reported 10 years before, as well as records of continuous intense wind erosion.

The aim of this paper is to evaluate the effects of wind on the lithic archaeological record in the Playón locus of the Arroyo Verde locality. We will present a taphonomic characterisation of the lithic scatters through statistical and descriptive methods. We will also assess wind morphological and distributional taphonomic effects over artefact attributes and identify the post-depositional geomorphic processes that probably affected the context. Finally, this study will provide new insights to improve the understanding of the regional depositional histories.

1.1. Study area

The North and West coasts of the San Matías Gulf have diverse geological and geomorphological features that gave it different land use strategies by the hunters-gatherers who occupied the area during the middle and late Holocene. In addition, both coasts exhibit differences in the way in which the archaeological assemblages are found today and display variation in their degrees of preservation (Favier Dubois & Borella 2011). The region is characterised by an arid-semiarid climate, with mean temperature of 15°C, annual rainfall below 300 mm and a low-lying shrub vegetation, monte, dominated by Larrea sp. (Cabrera & Willink 1973). Tides are semidiurnal and have a macro tidal regime, with means amplitudes of 6 m and a maximum value of 9 m, recorded at the Bahía San Antonio (Servicio de Hidrografía Naval 2009). The direction of the wind is predominantly west and reaches a maximum average speed of 23.6 km/h (Servicio Meteorológico Nacional 2014).

The West coast presents faulty and folded igneous and metamorphic rocks (González Díaz & Malagnino 1984). It has more homogeneous environments and lesser biodiversity of marine species (Favier Dubois & Borella 2011; Favier Dubois et al. 2008). In addition, fresh water is scarce because of the low development of water pools, known as aguadas, which are associated with wind deposits. In some sectors of this coast, there are small estuaries or bays with sandy beaches, very prone to preserve stratigraphic archaeological contexts (Favier Dubois et al. 2016). Surface materials were found on Aeolian mantles and within small shell middens - exhibiting different degrees of preservation (Borella et al. 2015; Favier Dubois et al. 2016). Previous work proposed that the West coast of San Matías Gulf was a corridor with low intensity occupations (Borella et al. 2015; Favier Dubois & Borella 2011). Indeed, the archaeological record is scarce; thus, it was suggested a sporadic, not redundant use of the space. Among the exceptions to this general pattern is Arroyo Verde locality, which exhibits an abundant archaeological record (Borella et al. 2015).

The Arroyo Verde locality is ca. 3.4 km to the north of the Verde spring and at 100 m from the sea (Figure 1). Different environments converge in the locality, like sandy beaches, dunes and the mouth of the Verde creek (an ephemeral and seasonal source of freshwater), between Holocene and Pleistocene marine terraces. The locality is an extensive blowout surrounded by vegetated dunes. The older chronology for the locality was obtained from a shell midden dated 7000 ¹⁴C yr BP (Gómez Otero 2006). More recently, two dates of 3000 ¹⁴C yr BP from one shell midden, and 5500 ¹⁴C yr. BP from a paleo-beach deposit on a marine terrace were added (Favier Dubois et al. 2017). Although the space would have been available from that time, the oldest date for human occupations is still a topic under discussion (Favier Dubois et al. 2017).
Gómez Otero (2006) characterised the locality as an energy capture locus with evidence of expeditious technological behaviour. Also, the author considered that the dunes were primarily selected for human settlement because the interdune areas offer shelter from climatic conditions. According to different lines of evidence, the deflation surfaces, which register the highest concentrations of artefacts in the area, would have formed after human occupations.

Vegetated sand dunes are located along the Rio Negro Province maritime coast and the archaeological materials within Aeolian deposits mostly evidence the action of paedological processes (e.g., root marks, rhizoconcretions, bones and artefacts with carbonate coating). These paedological processes were favoured or inhibited by palaeoclimatic fluctuations. During fieldwork rhizoconcretions (small cylindrical concretions composed of calcium carbonate and quartz sand) were recorded in the Arroyo Verde locality, which are indicators of recent deflation. Also, in the sampled area we observed the presence of a pebble ridge perpendicular to the sea, which may show an old position of the coastline. We observed guanaco (*Lama guanicoe*) dung piles and wallows all along the sampling area. There are guanaco groups and vehicles touring the area and leaving their traces. The tracks run through the entire dune sector and trigger erosive processes (Manzi *et al.* 2009) (Figure 2). At present, deflation reaches an unprecedented scale mainly because of the anthropogenic impact (Favier Dubois *et al.* 2008; Manzi *et al.* 2009), and hence this landscape is subjected to a general degradation condition (Favier Dubois *et al.* 2016).

### 2. Materials and methods

The Playón locus is a sparsely vegetated deflation or blowout surface, with hollows excavated by onshore winds, with sand driven mainly landward to form a looped ridge (Bird 2011). During fieldwork, the total collection of findings was made in an area of 3,500 m² (Figure 3). A GPS was used to take the relative position and height of each specimen to map its distribution in the sampling unit. All the measurements were taken with the same GPS during the same day, so the GPS error is a constant in our study and only relative measurements were performed with these data.

In the laboratory, we undertook the techno-morphological (*sensu* Aschero 1975-1983) and the taphonomic (*sensu* Borrazzo 2006) analyses of the whole lithic assemblage. The latter analysis includes the assessment of morphological and distributional taphonomic effects. The morphological taphonomic effects are those that refer to the post-depositional modifications suffered by the artefacts that imply changes in their formal attributes (Borrazzo 2016). Wind abrasion (corrasion) (Breed *et al.* 1997) and its intensity (Borrazzo 2006) were recorded to study morphological effects. Regarding the variables selected, it is worth mentioning that corrasion - the polishing of the edges and surface of the pieces due to the impact of the particles transported by wind is the main alteration registered on lithics in the study area. The intensity with which this phenomenon modifies the lithic surface depends on both exposure duration and the physical-mechanical properties of artefact raw materials (homogeneity, hardness, cohesion, and grain size) (Breed *et al.* 1997; Camuffo 1995). Therefore, this taphonomic effect is an unambiguous marker of air exposure useful to discuss differential subaerial exposure time within lithic assemblages (Borrazzo 2006). This alteration has been categorised (*sensu* Borrazzo 2006) in four stages: a) 0 or fresh: it records no corrasion, the surface has the same appearance as the interior of the rock; b) 1 or soft corrasion: rock keeps its original texture and is slightly abraded; c) 2 or corrasion: the surface and edges of the artefact are polished and shine; and d) 3 or strong corrasion: the whole artefact is abraded, its edges are completely rounded. These categories were recorded macroscopically.
Figure 2. A) Vehicles tracks through the dune sector; B and C) guanaco’s dung piles and tracks.
Figure 3. Artefacts collected with A) carbonate coating and B) soft corrosion surface. C) Sampling area and the distribution of specimens analysed herein.
Carbonation (coating or rock coating) was recorded on the artefact’s surface. This is a deposit of accretionary minerals - mainly carbonates - of varying thickness, which alters the appearance of the artefact surface (Dorn 2009). In this study, the area covered by the rock coating was recorded using 5% intervals.

Altogether, the phenomena recorded were used to characterise the stability of artefacts. The record of stability results from the differential presence of taphonomic indicators of exposure on the surface of any specimen (Borrero 2007). To test the occurrence of changes in the position of the pieces throughout their post-depositional life, the location and extension of corrosion and carbonation were analysed on each artefact surface, i.e., dorsal and ventral (Borrazzo 2006; Borrero 2007; Gifford 1981).

The spatial taphonomic effects or transformations are changes in the artefact distribution, such as its displacement (i.e., vertical and horizontal) and rotation. Particle size sorting (i.e., artefact granulometry) is one by-product of the spatial taphonomic effects (Borrazzo 2016). Differences in the size distributions within the blowout are expected because of the sorting action of the wind (Baker 1978; Borrazzo 2006; Lancaster 1986); for example, it is expected the predominance of artefacts of larger sizes and weights because of the deflation of fine and light particles. To assess the effects or spatial transformations (sensu Borrazzo 2016; 2018) induced by wind, the weight of each piece was recorded. Subsequently, weight data was used for modelling sample composition and granulometry.

2.1. Statistical methods

A decision tree analysis was performed with Fast and Frugal Tree searching algorithms (FFT) (Phillips et al. 2017) to explore the factors that conditioned assemblage stability. Decision tree methods are powerful machine learning algorithms to establish classification parameters based on a set of categorical or continuous independent variables over an input categorical variable (i.e., CART, C50, Random Forest, Fast and Frugal Trees). The pioneer application of Weitzel et al. (2014) also showed that these methods can be useful in lithic taphonomy and geoarchaeological research to predict a binary outcome (i.e., lithic artefact fracture probability). The structure of the decision tree can be described from its nodes and its branches (Song & Ying 2015). The nodes contain ‘the decision’ and originate from a main node or root which it expresses the most important variable. Only one decision (in this case stable-instable) is possible in the root and in the intermediate nodes, while in the last one it expressed both decisions together. The branches represent chance outcomes or occurrences that emanate from root nodes and internal nodes. A decision tree model uses a hierarchy of branches. Each path from the root node through internal nodes to a leaf node represents a classification decision rule (Song & Ying 2015).

Fast and Frugal Trees (FFT) are one special kind of decision trees, which are basically supervised learning algorithms created to predict a binary criterion based on a set of predictor values, also called “cues” in this context. The FFT main goal is not only the description of existing data but rather the prediction of new information. The generated model is expected to explain with the minimum possible error the differences in stability observed in the blowout. The larger the sample destined to the training phase of the tree, the less variability in the prediction phase. Small samples will generate more variable trees. Here, 80% (n = 373) of the sample is assigned for training purpose and the remaining 20% (n = 72) is used for testing the model. The comparison between the first (training) and the second model (testing), will also serve as a measure of the FFT effectiveness in this study. Relative fitting success can be measured from an error matrix also known as a confusion matrix. Each row of the matrix represents the predicted results count vs. the observed values for the dependent variable. As the answer is dichotomous, the correct prediction is called sensitivity (hit rate), whereas the
correct rejection is called specificity (rejection rate). The most efficient model will be the one that can predict the state of the dependent variable with the least error. It defines sensitivity as sens=hi/(hi+mi) (hi = hit rate, mi = misses) and represents the percentage of cases with positive criterion values that the algorithm correctly predicted. Similarly, it defines specificity as spec=cr/(fa+cr) (fa = false alarm, cr = correct rejections) and represents the percentage of cases with negative criterion values correctly predicted by the algorithm. Also, the balance accuracy (bacc) was considered, and the relation between both sensitivity and specificity was estimated as sens×w+esp×w (where w is the weight and varies between 0 and 1). For this study, the default value of 0.5 (it gives the same weight to both measures) was chosen.

As it was explained above, a set of different variables was measured during the data collection. However, since generating the simplest model as possible was the primary goal of the present study, variables that explain a marginal amount of the total variance in relation to the binary outcome were left out for decision tree reconstruction.

3. Data results

3.1. Techno morphological analysis

The assemblage analysed consists of 445 lithic artefacts, 405 (91%) of which are flakes and debris. The rest of the assemblage corresponds to 29 cores (6.5%) and 7 tools (2.4%). Tools are unifacial, like denticulates and endscrapers. Chert is the most represented raw material in the assemblage (n = 226; 68.2%), followed by chalcedony (n = 105; 31.7%), both of very good flaking quality and available in local lithic sources.

3.2. Morphological taphonomic effects

The corrasion stages 1 (soft corrasion) and 2 (corrasion) presented similar frequencies (35.2% and 34.9%, respectively). Both categories were recorded mainly on the artefact surface exposed to wind at the time of collection. 15.8% of the sample registered stage 3 (strong corrasion). Finally, only 0.14% of the total sample recorded stage 0 (fresh). These results show that over 99% of the lithic artefacts analysed exhibit evidence of subaerial exposure and ca. 50% of the cases have strong Aeolian abrasion (stage 2 and 3).

Carbonate was recorded on 5.2% of the sample. This coating was generally found on artefact surface in contact with the substrate at the time of collection (Alberti & Carranza 2014). This coating is primarily present on the edges of the specimens (i.e., retouch scars and platform). The artefacts with carbonate coating were distributed at an average height of 6.95 m and their presence decreases toward higher heights. Other than this rock coating, 55 pieces show a green adherence (mould or moss) on their surface in contact with the ground and they were distributed at an average height of 7.10 m. The occurrence of both alterations at similar relative average height suggests that the humid conditions were related to the presence of a body of water and the recent flooding and erosion of the soil.

The stability conditions of the lithic artefacts were assessed using the location, extension, and intensity of the morphological effects (i.e., corrasion, carbonates, mould). As it was explained above, stability conditions can be evaluated from the differential presence and distribution of taphonomic indicators on a lithic piece. The results yielded conditions of greater stability for those artefacts with stages 2 and 3. While the less stable conditions (i.e. higher frequency of movements) were recorded among the artefacts with corrasion in stage 1. These results suggest that pieces with lesser subaerial exposure show lesser stable conditions, while those with longer exposure time and stronger stages of corrasion, mostly record stable conditions. The records of stability in the sample considering all variables was analysed using statistical methods (see section 3.4).
3.3. Distributional taphonomic effects

To test the distribution of artefact sizes, the weight of the whole sample (445 pieces) was considered. Artefact weight provides a proxy for artefact size. We expect that smaller pieces to move more frequently in coastal dune contexts because the wind acts as a selective agent (Baker 1978; Borrazzo 2016; Lancaster 1986). The heavier pieces (and therefore larger size) have more chances to remain in their position when subject to wind action. The weight values recorded within the sample have a minimum of 0.4 g and a maximum of 343 g and the average is 17.65 g. These values suggest an approximately normal distribution of weight, with the presence of small pieces. This information allows us to limit the range of sizes present in the locus and discuss its relevance in terms of preservation and integrity (Bertran et al. 2012).

When mapping the pieces in the space surveyed, it is observed that they concentrate on the lowest portion of the deflation basin (i.e., depression) (Figure 4). This may be informing about the dynamics of the pieces within the landform, departing from the dune eroded profile towards the centre of the basin due to gravity, and generates differential expectations about the stability conditions for artefacts recovered from different segments of the deflation basin (see Discussion).

3.4. Statistical results

Statistical modelling suggests that the stable conditions are primarily a result of the altitude at which the piece was recovered and its weight (Figure 5). The model predicts the occurrence of stable conditions for altitudes with values greater than 8 m. In addition, pieces that weight less than 3 g would have been less stable than those with values above this threshold. For the model adjustment phase, a random sample of 80% of the sample was used, and validation was carried out with the remaining 20% of the sample. The 28% of the latter exhibits more stable conditions and 72% shows non-stable conditions.

The final model has adjustment rates of 30% specificity, which measures the positive observations (no stability) and when the absence of change or stability occurs. While the sensitivity rate (null observations - stability) is 94% showing to what extent the model detects the conditions that cause instability.

The average value for acc and bacc is 76% and 62% respectively, which shows an overall average precision. This index balances sensitivity and specificity and in this case, the model is efficient in predicting less stable cases (with 77% correct answers). However, it is not very efficient in determining which cases should present stable conditions. The latter may be due to several factors we discuss below (see Discussion).
Figure 4. Distribution of artefacts in relation to recorded altitude and corrosion into the sampling area.
Figure 5. Best tree (upper centre) and performance statistics (bottom) for a testing phase using the remaining 30% of the sample (n = 72). At the bottom, fitting values of the tree model. Abbreviations: sens: sensibility, spec: specificity, acc: accuracy, bacc: balance accuracy.
4. Interpretation of the data

The results of this study suggest that the lithic assemblages of the blowout were exposed on the surface to the wind and its sediment load was long enough for this process to (i.e., taphonomic effect). Effectively, corrasion is present on more than 99% of the artefacts. This trend may show the continuity of erosion processes in this area. Stages 1 and 2 of corrasion exhibit the higher frequencies in the sample, while a lower presence of extreme stages - 0 and 3 - was recorded. The scarce presence of carbonate coatings on the surface of the artefacts shows the lack of adequate conditions for these phenomena to develop and preserve. Therefore, it may not be a very informative variable in this context. Its low frequency is related to subaerial exposure since sediment impacts remove the crust from the artefact and prevents their development on the exposed surface. As mentioned, we register their presence mainly on the artefact surface in contact with the ground. Along with the pieces with carbonate, artefacts with green organic adhesions (moss) were also recorded. Both phenomena are observed on materials that were recovered from the lower relative heights of the sampling area, and thus were already incorporated into the bottom of the blowout. This suggests the availability of water bodies or at least the existence of more humid condition at the bottom of the blowout. Borrazzo and Borrero (2015) recorded a similar trend in the coastal sand dunes of Tierra del Fuego (Argentina).

The results of the stability analysis suggest that the more stable conditions are recorded on pieces averaging longer exposure times that are located in the lower part of the basin. The pieces that recorded stages 2 and 3 of corrasion show the most stable conditions of the assemblage and they are located in the blowout's bottom, while the less stable conditions were recorded on pieces with stage 1 of corrasion. These pieces are located in the higher areas within the blowout; they would have been recently uncovered (exposed) and still subjected to cycles of exposure-erosion into the deflated surface towards the blowout bottom. The corrasion stages recorded on the lithic material and their differential distribution in space may account for burial cycles of remains within the sand dunes and the variable exposure time as pieces accumulate and stabilize in the blowout bottom. Therefore, the conditions within the deflation surface seem to be variable at Playón locus of Arroyo Verde. So far, the general conditions are of greater exposure and low preservation of stratigraphic deposits in comparison to other loci in the Arroyo Verde locality (work in preparation) (Figure 6). The particular blowout studied here presents higher frequencies of pieces with advanced stages (2 and 3) of corrasion.

Figure 6. Diagram of Arroyo Verde locality and the spatial relationship between the Arroyo Verde 1 (Gómez Otero 2006) and Playón locus.
The statistical model generated suggests that the occurrence of more or less stable conditions in this locus is related to the relative altitude. The gravitational processes allow the movement of items down on the dune slope and their incorporation towards the centre of the blowout that acts as a topographic trap. The application of decision trees allowed us to establish thresholds from which to expect the occurrence of stable conditions at different relative heights within the same blowout basin. However, the statistical model obtained a low value of adjustment to predict stable conditions, which suggests high variability and local dynamics. The pieces that registered advanced stages of corrosion are distributed in the lower area, whereas those pieces with less corrosion are located in higher sectors, on the slopes and edges of the sand dune. Therefore, this model cannot be extrapolated to other locations. We must adjust these predictive models to the scale that each case requires.

The results obtained from the distributional study of alterations do not offer a clear trend. This locus exhibits good preservation of small particles, but without spatial fidelity, that is to say, artefact distribution does not directly reflect knapping and other activities (Bertran et al. 2012; Borrazzo 2016). In previous work in the area, a deposit of small particles was recorded and interpreted as a by-product of wind action (Carranza 2017). So, these small particles could be part of the recently exposed archaeological contexts of the locus as well as items selectively removed from larger lithic assemblages.

Although there is still no clear chronology for human occupation at the Arroyo Verde locality, the date of 5,500 ^14^C yr BP obtained from an aeolian deposit on a marine terrace provides a maximum date for the availability of this space for its occupation (Favier Dubois et al. 2017). The high density of the archaeological remains and the presence of site furniture at Arroyo Verde suggest that this locality was redundantly occupied, possibly due to the availability of resources. Other nearby locations, such as Punta Odriozola and Punta Pórfido, exhibit similar conditions. The expedient technology identified in this locus corresponds to what has been recorded in other localities of the region and is linked to the immediate acquisition of the required lithic resources (coastal lithic sources) (Alberti et al. 2015; Borella et al. 2015). Therefore, Arroyo Verde is a spot with attractive resources (e.g., fresh water, shelter and coastal fauna) for a semi-arid and rocky coast. Further studies will delve into the role of Arroyo Verde in coastal human occupations of northern Patagonia and provide additional data on the preservation of the archaeological record within the coast of the San Matías Gulf.

5. Conclusions

This paper aimed at understanding the surface lithic scatters recorded in a coastal dune context of the San Matías Gulf (Northern Patagonia, Argentina). We approached the formation processes in Arroyo Verde locality from a taphonomic perspective based on both environmental information and technological characterisation. The way lithic items move within the dune context and the different alteration rates provide new insights on the history of the local and regional archaeological record within this coastal environment. The next step will be to perform experimental studies to obtain detailed data on the dynamics observed in the dune contexts in terms of the rate of deflation, its effects on the distribution by weight and the intensity of corrosion of the artefacts. It remains necessary to compare and integrate the information obtained at Playón with that generated for other loci in the area, such as Arroyo Verde 1. Jointly, they will provide further insights for reconstructing the history of the post-depositional life of lithic artefacts in a reoccupied area.
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